

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Page 1 of 2



## FILING COMPLETION UNDER RULE 53(f)

( NOT PCT Applications)  
For Design, Provisional, or Utility Applications

PATENT  
APPLICATION

**COMPLETION Under**  
**Rule 53(f)**

Attn: Application Division

In re PATENT APPLICATION of  
Inventor(s): Paul VAN DER VEEN

Appln. No.: 09 988,387  
Series Code  $\uparrow$  Serial No.  $\uparrow$

Atty.Dkt. P 284021 P-0217.010-US  
M# Client Ref

Filed: November 19, 2001

Title: LITHOGRAPHIC APPARATUS, INTEGRATED CIRCUIT DEVICE MANUFACTURING METHOD,  
AND INTEGRATED CIRCUIT DEVICE MANUFACTURED THEREBY

Hon. Commissioner of Patents  
Washington, DC 20231

Date: January 28, 2002

Sir:  
The following **completes the filing** under Rule 53(f) of the above-identified patent application:

1. **Notice to File Missing Parts** ☒ copy attached ☐ not yet received
2. ☒ Signed Declaration attached. ☒ Original ☐ Facsimile/Copy

(Always "X" box 2 if filing signed Declaration and  
"X" box 2A only if top box of the Declaration is X'd and file application copy, or  
"X" box 2B only if none of the top three boxes of the Declaration is X'd.)

2A. ☐ Attached: Original signed Declaration with attached specification (including claim(s)) which is a copy of specification and claim(s) originally filed to secure the above filing date.

2B. ☐ The original application as filed in the PTO on the above filing date is the application which each inventor executed by signing the attached Rule 63 Declaration.

3. ☐ Specification originally filed in non-English language; hence verified translation attached of:
  - a. ☐ Abstract
  - b. ☒ #            pages of Specification (only spec. & claims)
  - c. ☐ Drawing(s)                                  No of Sheets
  - ☐ Fig(s).

4. ☒ Letter filing formal drawing attached.
5. ☒ Attached is an assignment and cover sheet. Please return the recorded assignment to the undersigned.
6. **DOMESTIC/INTERNATIONAL** priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1)		(2)	
(3)		(4)	
(5)		(6)	

7. **FOREIGN** priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in EUROPE

8.
 

Application No.	Filing Date	Application No.	Filing Date
(1) 00310409.8	23 November 2000	(2)	
(3)		(4)	
(5)		(6)	

Completion Under Rule 53(f)

9. \_\_\_\_\_ (No.) Certified copy (copies): ☐ attached; ☒ previously filed (date) November 19, 2001  
in U.S. Application No. 09/988,387 filed on November 19, 2001
10. Small Entity Status ☐ ☒ is Not claimed ☐ is claimed (file PAT-256 if this is the first claim of Small Entity Status)
11. ☐ Attached:
12. ☐ Preliminary Amendment:

**THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED**

				Large/Small Entity		Fee Code
13. Basic Filing Fee .....				Design Application \$330/\$165		106/26
				Not Design Application \$740/\$370	+740	101/201
14. Total Effective Claims	14	minus 20 =	0	x \$18/\$9	+0	103/203
15. Independent Claims	2	minus 3 =	0	x \$84/\$42	+0	102/202
16. If <u>any proper</u> multiple dependent claim (ignore improper) is present, (Leave this line blank if this is a reissue application)				\$280/\$140	+0	104/204
17. Surcharge for filing Declaration/filing fee late				\$130/\$65	+130	105/205
18. <b>FILING FEE ENCLOSED =</b>				<b>\$870</b>		
19. <b>Original due date:</b> February 5, 2002						
20. <b>Petition is hereby made</b> to extend the <u>original</u> due date to				(1 mo) \$110/\$55 =	+0	115/215
cover the date this response is filed for which the requisite fee				(2mos) \$400/\$200 =		116/216
is attached				(3mos) \$920/\$460 =		117/217
				(4mos) \$1,440/\$720 =		118/218
21. If "non-English" box 3 is X'd, add Rule 17(k) processing fee .....				\$130	+0	139
22. If "assignment" box 5 is X'd, add recording fee. ....				\$40	+40	581
23. Petition Fee for				\$130	+0	
24. <b>TOTAL FEE ENCLOSED =</b>				<b>\$910</b>		

Our Deposit Account No. 03-3975

Our Order No. 81468

284021

C#

M#

**CHARGE STATEMENT:** The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficiencies only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown in the heading hereof for which purpose a duplicate copy of this sheet is attached. This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

**Pillsbury Winthrop LLP  
Intellectual Property Group**

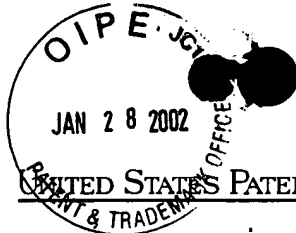
1600 Tysons Boulevard  
McLean, VA 22102  
Tel: (703) 905-2000

By Atty: Robert C. PerezReg. No. 39,328Sig: 

Fax: (703) 905-2500  
Tel: (703) 905-2159

Atty/Sec: RP/rsp

**NOTE: File in duplicate with PTO receipt (PAT-103A) and attachments**



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/988,387	11/19/2001	Paul Van Der Veen	P 284021 P-0217.010-US

CONFIRMATION NO. 8241

00909  
PILLSBURY WINTHROP LLP  
1600 TYSONS BOULEVARD  
MCLEAN, VA 22102

## FORMALITIES LETTER



\*OC000000007157696\*

Date Mailed: 12/05/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 870.**

*A copy of this notice **MUST** be returned with the reply.*

*Shaine*  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/30/2002 HTECKLU1 00000047 09988387

01 FC:101  
02 FC:105

740.00 OP  
130.00 OP



#4/3802

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re the patent application of:

Paul VAN DER VEEN

Application No. 09/988,387

Group Art Unit: 2881

Filed: November 19, 2001

Examiner: Unknown

Title: LITHOGRAPHIC APPARATUS, INTEGRATED CIRCUIT DEVICE  
MANUFACTURING METHOD, AND INTEGRATED CIRCUIT DEVICE  
MANUFACTURED THEREBY

\* \* \* \* \*

January 28, 2002

**SUBMISSION OF FORMAL DRAWINGS**


Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Attached hereto are THREE (3) sheets of formal drawings including  
Figures 1-8, which are in lieu of the informal drawings filed earlier in the above-  
captioned patent application.

Respectfully submitted,

PILLSBURY WINTHROP LLP

By: 

Robert C. Perez  
Reg. No. 39,328  
Tel. No. (703) 905-2159  
Fax. No. (703) 905-2500

1600 Tysons Boulevard  
McLean, VA 22102  
(703) 905-2000